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#7 Prior art  
w/att 130.00 1122 4/3/97

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Silvio Micali :  
Appln. No.: 08/741,601 : Art Unit: 2202  
Filed: November 1, 1996 : Examiner: TO BE ASSIGNED  
For: TREE-BASED CERTIFICATE : Atty Docket: U/17957-0022B  
REVOCATION SYSTEM :

200 TL 03/31/97 087(1601) 130.00 1122

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97**

**RECEIVED**

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

APR 11 1991  
**GROUP 2200**

Sir:

Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), applicants request that the references cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104.

This Statement is being filed under 37 CFR 1.97(b) prior to the mailing date of a first Office Action on the merits. This Statement is accompanied by a Petition Under 37 CFR 1.182 to expunge PROPRIETARY INFORMATION and the required fee under 37 CFR 1.17(h) (\$130.00). The commissioner is authorized to charge any additional fees which may be required to our **Deposit Account No. 06-1448**. Two originally-executed copies of this form are being submitted.

**Information Disclosure Statement  
Appln. No. 08/741,601**

**March 10, 1997**

Two of the references listed on the attached PTO-1449 (Reference AR and AS) are being submitted under MPEP 724 as PROPRIETARY MATERIAL NOT OPEN TO PUBLIC.  
**TO BE OPENED ONLY BY EXAMINER OR OTHER AUTHORIZED PATENT AND TRADEMARK OFFICE EMPLOYEE.**

PROPRIETARY REFERENCE "AR" is a twelve page facsimile message from Chini Krishnan of Integris Security, Inc., to Professor Silvio Micali dated February 25, 1997, which is entitled "Certificate Validation Trees" Document Version 1.05. This document contains a notice indicating that Integris believes that the document "may contain trade secrets and proprietary information of Integris Security, Inc."

PROPRIETARY REFERENCE "AS" is a seven page facsimile message from Chini Krishnan of Integris Security, Inc., to Professor Silvio Micali dated February 17, 1997, which is entitled "Quick Introduction to Certificate Revocation Trees (CRTs)". Although this facsimile does not contain a confidentiality notice, Integris has indicated to Professor Micali that this information is considered proprietary by Integris.

Applicants have been informed that both of these PROPRIETARY REFERENCES have not yet been published and thus do not constitute prior art. As evidence of this applicants attach three e-mail messages between Professor Micali and Integris (refs. AW, AX and AY).

The Examiner is respectfully requested to consider the references submitted in this Information Disclosure Statement and initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner. If the PROPRIETARY REFERENCES are found to be *not*

**Information Disclosure Statement  
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important to a reasonable examiner in deciding whether to allow the application to issue as a patent, the Examiner is requested to grant the attached Petition Under 37 CFR 1.182 and expunge these PROPRIETARY REFERENCES from the file.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at the number listed below.

Respectfully submitted,

FOLEY, HOAG & ELIOT LLP

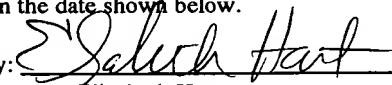
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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents on the date shown below.

By:   
Elizabeth Hart  
Date: March 10, 1997